

Equity Checklist - “NRI (Non Resident Indian)”

“One set of each document”

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| 1. | - Copy of PAN Card |
| 2. | - Copy of PASSPORT |
| 2. | - Copy of AADHAAR Card (Optional) (Latest Masked Downloaded Aadhaar copy and PDF) |
| 4. | - Copy of Foreign Address proof (Any One of the following) a) Passport (Validity period to be checked) b) Driving License (Validity period to be checked) c) Bank Statement / Passbook with latest transaction details for 3 months d) Electricity/Telephone (Landline) bill (Not more than 3 months old) e) Proof of address issued by Notary Central-State Government / Notary Public / Gazette Officer / Scheduled Commercial Banks / Parliament / Elected Representatives to the Legislative Assembly |
| 5. | - Valid VISA / Work Permit |
| 6 | -Copy of Latest Immigration Stamp page |
| 7. | - OCI/PIO Card (In case of foreign national of Indian Origin) |
| 8. | - RBI permission letter (PIS Letter) |
| 9. | - PIS (Portfolio Investment Scheme) bank account proof |
| 10. | - Normal NRE/NRO Bank proof |
| 11. | - FEMA Declaration duly signed by client (Format Enclosed) |
| 12. | Rs.1000 cheque in the name of " Emkay Global Financial Services Limited " - Account opening charges for Trading and Demat |
| 13. | - In case of registration of third party address proof for correspondence address, please ensure to obtain the below documents a) PAN Card copy of the third party person b) Proof of address of the third party person c) Third party address letter (Format Enclosed) Note : (ensure document should be attested by both - Account Holder & Third Party Person) |
| 14. | - Incase of other DP client master copy duly attested with stamp by DP official & self attested by BO require |
| 15 | TIN/SSN number require with supporting proof |

Please Note:

- a) All supporting documents should be self attested by the client and personally verified (IPV) with original by the Official from branch office bearing name/signature/employee code of the official.
- b) In case of NRIs, authorized officials of Notary Public, Court Magistrate, Judge, Indian Embassy /Consulate General in the country where the client resides are permitted to attest the documents.
- c) In case of Seafarer client, Self-attested copy of continuous Discharge Card of employment is needed with Copy of existing company Contract.

Annex -1**Declaration in case of NRI BO Holders**

I/we Mr./Mrs. _____ Resident of _____

intends to open a BO account with ***M/s. Emkay global financial services Limited*** (Name of the DP) declare that I/we has/have complied with and will continue to comply with **FEMA / RBI** regulations and any other regulations which may be introduced by GOI or any Government authorities from time to time.



Name & Signature
(First Holder)



Name & Signature
(Second Holder)



Name & Signature
(Third Holder)

Letter for Third Party Address

Date:

To,
Emkay Global Financial Services Ltd.
KYC Department
Paragon Center, C-06, Ground floor
P B Marg, Worli
Mumbai-400013

Dear Sir/Madam,

We wish to open Trading and/or Demat account with you and wish to inform you that for my correspondence address, I

wish to use following address _____


_____ of my relative Mr./ Ms. /

Mrs. _____ and same is with his/her consent and knowledge.

I have also obtained the photo ID (PAN Card) & Proof of address of my relative as per the requirement.


Request you to oblige the same.

Thanking you,

Client Signature :  _____

Client Name : _____

I confirm that address mentioned above is in my name and I further agree & I don't have any objection in Mr./ Ms./Mrs.
_____ using my address as his/her correspondence address proof.

Relative Signature :  _____

Relative Name : _____

Relation with client : _____